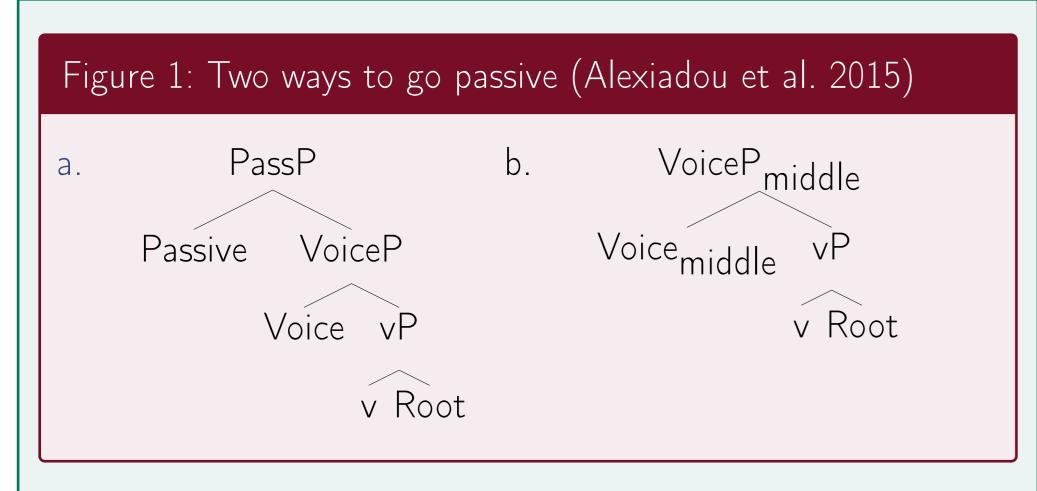
Two types of passive? Voice morphology and "low passives" in Greek and Sanskrit



1. Introduction



Canonical contexts of non-active/"middle" morphology ("voice syncretism", Embick 1998, 2004; cf. Kemmer 1993, Kaufmann 2007, Alexiadou and Doron 2012, Alexiadou 2013, Alexiadou et al. 2015):

- (1) a. Anticausatives
 - b. Reflexives/reciprocals
 - c. Self-benefactives
 - d. Dispositional/generic constructions
 - e. Passives (Mediopassives)
- ► Passive = one of the **canonical functions** of non-active inflection in Greek & Sanskrit → "inflectional passive"
- ▶ ... but Vedic Sanskrit & Classical Greek also have a "passive" suffix that occurs closer to the root & co-occurs with inflectional Voice morphology → "derivational passive"
 only in the present (Vedic) or aorist (Greek)
- (2) Vedic Sanskrit
 - a. bhár-a-ti (present act.) "carries sth." CARRY-ipfv-3sg.pres.act
 - b. bhár-a-**te** (present non-act.) "carries (for) oneself" CARRY-ipfv-3sg.pres.**NAct** (NOT "*is being carried")
 - c. bhri-yá-te (present pass.) "is being carried" CARRY-ipfv.pass-3sg.pres.NAct
- (3) Two types of passive, Vedic (a = ``augment''; +past)

a. Inflectional (aorist)	b. Derivational (present)
á-sto-ṣ- ṭa	bhri- yá-te
a-PRAISE-pfv-3sg.past. NAct	CARRY-ipfv. pass -3s.pres. NAct
"he/she was/got praised"	"he/she is/gets carried"

- (4) Classical Greek
 - a. *é-lou-s-a* (aorist act.) "I washed (sth.)" a-WASH-pfv-1sg.past.**act**
 - b. e-lou-sá-mēn (aorist non-act.) "I washed myself" a-WASH-pfv-1sg.past.NAct (NOT *'I was washed")
 - c. *e-loú-thē-n* (aorist pass.) "I was washed" a-WASH-**pfv.pass**-1sg.past.**act**
- (5) Two types of passive, Greek

a. Inflectional (present)	b. Derivational (aorist)
thein-o- mai	e-dú- thē-n
STRIKE-ipfv-1sg.pres. NAct	a-SINK-pfv. pass -1sg.past. act
"I am/get struck, hit"	''I was sunk''

- ► Are there two different Voice heads in Vedic & Greek?
- ► Why is PASS restricted to a particular tense/aspect stem?
- ► Why does PASS co-occur with non-active morphology in Vedic but with active morphology in Greek?

2. Proposal

- ► Vedic & Greek have only *one* Voice head—Fig. 1(b)
- Their derivational passives developed independently out of stative/inchoative v's via **reanalysis** \rightarrow "low PassP"

3. Inflectional vs. derivational passives: Vedic

- ► Derivational passive takes **non-active** endings
- (6) Inflectional vs. derivational passives: Vedic

Properties	infl.	deriv.
Acc.obj. → nom.subj.	/	/
Demoted agent $ ightarrow$ adjunct NP, instr. case	✓	✓
Eventive	✓	✓

Inflectional: e.g., RV 1.77.5a-b:

(7) $ev_{\acute{a}}$ $agn\acute{i}r$ $g\acute{o}tamebhir$ (...) thus Agni.NOM Gotama.INSTR.PL a-sto-s-ta $j\bar{a}t\acute{a}ved\bar{a}\dot{h}$ A-praise-AOR-3SG.PAST.NACT Jātavedas.NOM 'Thus has Agni, the Jātavedas, been praised by the Gotamas (...).'

3. cont'd: Inflectional vs. derivational passives: Vedic

Derivational: e.g., RV 9.81.12d:

(8) $s_u \bar{a} y u dh \acute{a} h$ $sot \acute{r}bh i h$ with good.weapons.NOM.SG presser.INSTR.PL $p \bar{u} - y a - t e$ $v \acute{r} s \bar{a}$ purify-V.PASS-3SG.PRES.NACT bull.NOM.SG "The bull of good weapons is purified by the pressers."

4. Inflectional vs. derivational passives: Greek

- ► Derivational passive takes **active** endings
- (9) Inflectional vs. derivational passive: (post-Homeric) Greek

Properties	infl.	deriv.
Acc.obj. → nom.subj.	/	✓
Demoted agent $ ightarrow$ prep. $+$ gen. case	?	?
Eventive	✓	✓

Inflectional: e.g., Homer, *Iliad* 6.56–7:

(10) ê soì árista pepoiē-tai
PTCL you.DAT best.NOM.PL do.PERF-3SG.NACT
katà oîkon pròs Trốon
towards house.ACC from/by Trojan.GEN.PL
"(So) were the best things done to you in your house by the Trojans?"

Derivational: e.g., Herodotus, *Histories* 1.87.1:

(11) eí tí hoi kekharisménon eks
if anything.NOM.N him.DAT pleasing.NOM of
autoũ e-dōré-thē ...
self.GEN A-give-V.PASS.3SG.PAST.NACT
"If anything pleasing had (ever) been given to him; by him; ..."

Problems:

- ► Greek "passive" $-(th)\bar{e}$ also found in statives/inchoatives
- ► Variation in the use of prepositions to express the demoted agent: *hupó* 'from, under', *apó* 'from', *ek* 'out of', etc.
- ► Formally *active* unaccusatives use the same prepositions

5. Analysis

Ex. 1 Spell-Out of NonAct (Alexiadou et al. 2015):

Voice \rightarrow Voice[NonAct]/_ No DP specifier

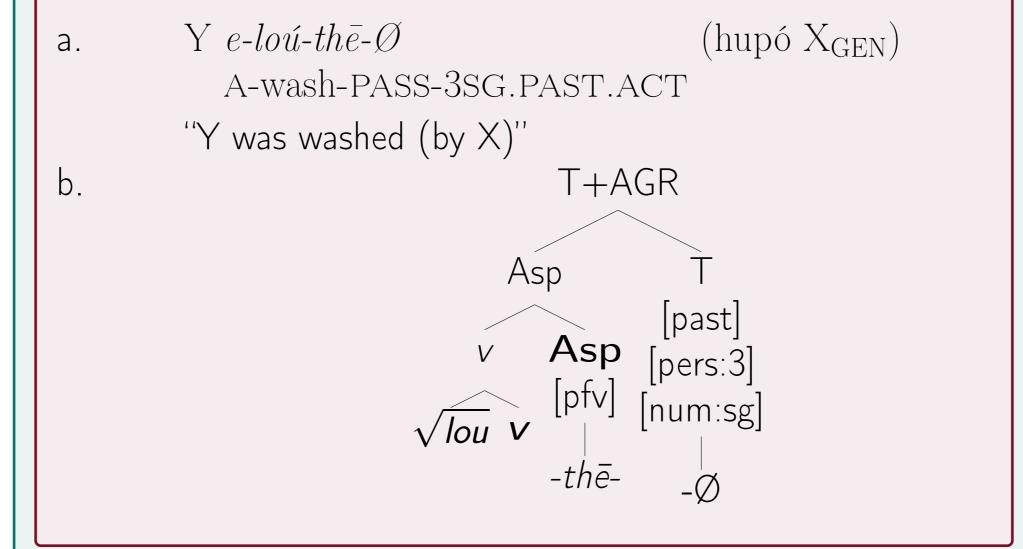
- ► (Non-)active morphology = sensitive to Voice[+/-ext.arg.]
- ➤ active morphology = "elsewhere" (also emerges when Voice is missing, e.g., in unaccusatives & statives, Kallulli 2013).

5a. Greek: "pass" = v+Asp

Proposal: Greek - $th\bar{e}$ - realizes v+Asp (cf. Merchant 2015); is never selected by Voice

- $ightharpoonup -(th)\bar{e}$ patterns as (anticaus./inchoative) verbal stem suffix
- (12) Non-passive $th\bar{e}$ -aorists (a = "augment", +past):
 - a. e-krúph-**thē**-n 'l hid (myself)' a-HIDE-**pfv**-1sg.past.act
 - b. *e-phobé-the-n* 'l fled' a-FLEE-**pfv**-1sg.past.act
- (13) $-th\bar{e}$ in complementary distribution with other v's:
 - a. e-dú-**thē**-n 'l was sunk' a-SINK-**pfv.pass**-1sg.past.act
 - b. $d\vec{u}$ - \vec{n} - \bar{o} 'I sink (sth.)' SINK-**pres**-1sg.pres.act

Figure 2: Derivational passive, Greek



- ▶ Origin of "passive" $-(th)\bar{e}$ -: inchoative & stative formations
- ▶ Jasanoff 2004: (de)nominal; reanalyzed instr.sg. nouns in *- \bar{e} , cf. Ved. $guh\bar{a}$ $bh\bar{u}$ 'become hidden', Lat. $\bar{a}r\bar{e}$ -faci \bar{o} 'make hot'.
- ▶ non-alternating unaccusatives → never had a Voice head

5b. Vedic: ''pass'' = v

Proposal: Vedic passive -yá- realizes v

- never co-occurs with other stem-forming morphology
- never selects verbs with overt transitivizing morphology
- (14) Vedic nasal-infix present and its passive

a. present act. b. pres. pass.

yu<ná>k-ti 'yokes' yuj-yá-te 'is being yoked' YOKE<ipfv>-3sg.pres.act YOKE-ipfv.pass-3sg.pres.NAct

Vedic has two -ya-suffixes, 1. passive -yá-, 2. unaccented non-passive, intransitive -ya- (cf. Kulikov 2012)

- (15) Vedic intransitive (non-passive) -ya-:
 - a. **active**: *kṣúdh-ya-ti* 'becomes hungry', *gṛdh-ya-ti* 'becomes greedy', *jū́r-ya-ti* 'grows old, ages'
 - b. **non-act**.: *pád-ya-te* 'falls', *búdh-ya-te* 'is awake'
- (16) Intransitive vs. passive -ya-: minimal pair

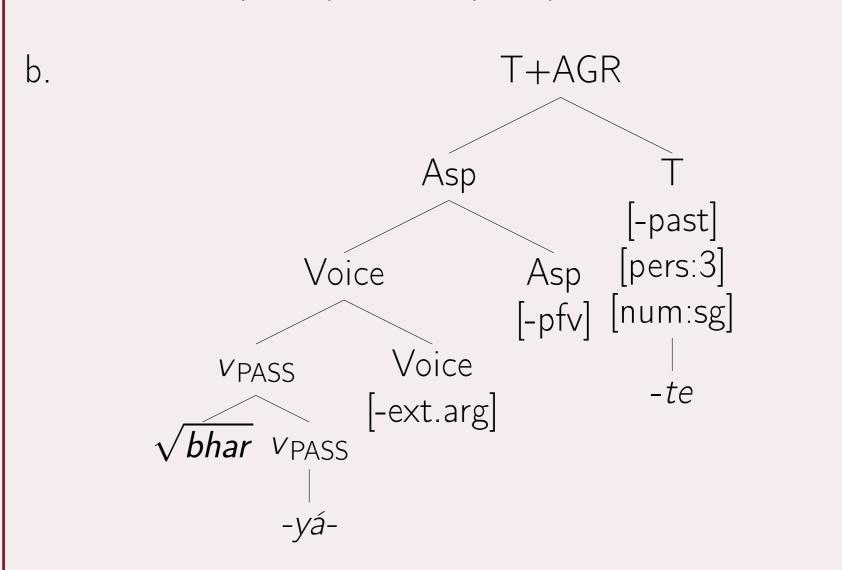
a. intransitive - <i>ya</i> -	b. passive - <i>ya</i> -
kṣĩ-ya-te 'perishes'	<i>kṣī-yá-te</i> 'is destroyed'
PERISH- ipfv -3sg.pres.NAct	PERISH- ipfv.pass -3sg.pres.NAct

Proposal: Diachronic reanalysis v_{BECOME} -ya- $\rightarrow v_{\text{pass}}$ -ya-

- ► Ved. -yá- < Proto-Indo-European (PIE) *-jé/o-, function: stative, anticausative, often denominal/deadjectival
- ► PIE *-*ie/o* was compatible with act. & non-act morphology:
- (17) a. *spék-ie- 'see, watch for' > Ved. act. pásyati 'sees', Lat. act. $speci\bar{o}$ 'I see', vs. Gk. non-act. sképtomai 'look around'
 - b. **mṛ-jé-* 'die' > Ved. non-act. *mriyáte* 'dies', Lat. non-act. *morior* 'die'
- ... which suggests that Vedic v_{PASS} is selected by Voice

Figure 3: Derivational passive, Vedic

. Y (X_{instr}) bhri-yá-te carry-IPFV.PASS-3SG.PRES.NACT 'Y is (being) carried (by X)'



6. Conclusion & implications: two types of passive

- Vedic & Greek Act/NAct inflection = allomorphs of Voice_(middle)
 - Non-active morphology marks the lack of an agent in Spec.VoiceP in different syntactic environments, one of which is the passive
- Inflectional passives behave as such w.r.t. to applicable criteria in both languages (demoted agents, etc.)
- ► Vedic & Greek derivational passives:
 - A distinct verbal functional head, but unlike Alexiadou et al. 2015's 'high passive'
- Vedic -yá- & Greek -thē- select roots, not transitive v
- Both developed diachronically from stative/intransitive verbalizing suffixes → "low passive"
- But they differ in whether or not they co-occur with the higher Voice head (Vedic: yes, Greek: no)
- Diachronic generalization: eventive passives (analytic and synthetic!) develop from stative/inchoative constructions

References

Kaufmann, I. 2007. Middle voice. Lingua 117:1677-714.

Kemmer, S. 1993. *The Middle Voice*. Amsterdam: John Benjamins.

Alexiadou, A. 2013. Where is non-active morphology? In *Proceedings of the 20th International Conference on Head-Driven Phrase Structure Grammar*, ed. S. Müller, 244–62. CSLI publications.

Alexiadou, A., E. Anagnostopoulou, and F. Schäfer. 2015. *External arguments in transitivity alternations: a layering approach*.

Alexiadou, A., and E. Doron. 2012. The syntactic construction of two non-active voices: passive and middle. *Journal of Linguistics* 48:1–34.

Embick, D. 1998. Voice systems and the syntax/morphology interface. In *Papers from the UPenn/MIT Roundtable on Argument Structure and Aspect*, 41–72. MITWPL 32.

Embick, D. 1998. Voice systems and the syntax/morphology interface. In *Papers from the UPenn/MIT Roundtable on Argument Structure and Aspect*, 41–72. MITWPL 32.

Embick, D. 2004. Unaccusative syntax and verbal alternations. In *The Unaccusativity Puzzle*, 137–58. Oxford University Press. Jasanoff, J. 2004. "Stative" *-ē- revisited. *Die Sprache* 43 (2002-03 [2004]):127–170.

Kallulli, D. 2013. (Non-)canonical passives and reflexives: deponents and their like. In *Non-Canonical Passives*, 337–58. Amsterdam/Philadelphia: John Benjamins.

Kulikov, L. 2012. The Vedic -ya-presents. Passives and Intransitivity in Old Indo-Aryan. Amsterdam/New York: Rodopi.

Merchant, J. 2015. How much context is enough? Two cases of span-conditioned stem allomorphy. LI 46/2:273–303.

Passives - A Cross-Linguistic Workshop, 16–17 Sept. 2017, University of Vienna