

More span-conditioned allomorphy: Voice morphology in Classical Greek

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1 Introduction

Classical Greek (CG) Voice morphology (active vs. non-active (NAct; “middle”) is usually compatible with all verbal stem-forming morphology (V; portmanteau with Asp & glossed ipfv/pfv in (1–3)), with imperfective and perfective aspect; and with different moods (subjunctive and optative). Voice morphology is expressed as portmanteau together with Tense/Agr morphology.

(1) active vs. middle in CG

	active	middle
pres.	<i>louí-Ø-ō</i> wash-IPFV-1SG.NON-PAST.ACT 'I wash (sth.)'	<i>louí-o-mai</i> wash-IPFV-1SG.PRES.NACT 'I wash myself'
aor.	<i>é-lou-s-a</i> PAST-wash-PFV-1SG.PAST.ACT 'I washed (sth.)'	<i>e-lou-sá-mēn</i> PAST-wash-PFV-1SG.PAST.NACT 'I washed myself'
fut.	<i>louí-s-ō</i> wash-IPFV/PFV-1SG.NON-PAST.ACT 'I will wash (sth.)'	<i>louí-so-mai</i> wash-FUT-1SG.PRES.NACT 'I will wash myself'
pres.subj.	<i>louí-Ø-Ø-ō</i> wash-IPFV-SUBJ-1SG.NON-PAST.ACT 'I shall wash (sth.)'	<i>louí-ō-mai</i> wash-IPFV.SUBJ-1SG.NON-PAST.NACT 'I shall wash myself'
aor.opt.	<i>lou-s-ai-mi</i> wash-PFV-OPT-1SG.NON-PAST.ACT 'if only I had washed (sth.)'	<i>lou-s-aí-mēn</i> wash-PFV-OPT-1SG.PAST.NACT 'if only I had washed myself'

Passive is only compatible with perfective aspect. It is only found in the aor. & fut. and surfaces as a stem-forming suffix *-thē-* rather than as part of the verbal endings (cp. (0)-(1)). In the aorist, it is compatible with both subjunctive and optative mood, but in the future it is only compatible with optative, not with subjunctive. It obligatorily co-occurs with active morphology in aor., aor.subj. and aor.opt, (2-a-c), but with middle morphology in the fut. and fut.opt, (2-d-e).

- (2) passive
- a. *e-loú-thē-n* ‘was washed’ (aor)
past-wash-pass.pfv-1sg.past.**act**
 - b. *lou-thô* ‘shall be washed’ (aor.subj)
wash-pass.pfv.subj.1sg.nonpast.**act**
 - c. *lou-theíē-n* ‘if only I were washed’ (aor.opt)
wash-pass.pfv.opt-1sg.past.**act**
 - d. *lou-thē-so-mai* ‘will be washed’ (fut)
wash-pass.pfv-fut-1sg.nonpast.**NAct**
 - e. *lou-thē-s-oí-mēn* ‘if only I would be washed’ (fut.opt)
wash-pass.pfv-fut-opt-1sg.nonpast.**NAct**

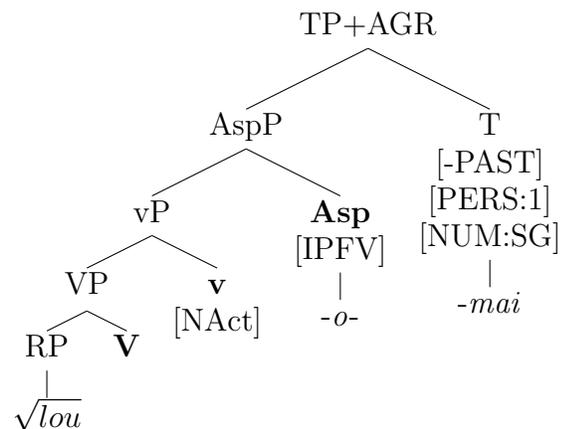
2 Background

Merchant 2015: allomorphy is triggered by adjacent **spans** (= sets of ordered terminal nodes of a given extended projection; each terminal node itself is a span) rather than adjacent nodes.

- **outward sensitivity**: allomorphy is triggered by a structurally higher span (only the *morphosyntactic content* of the higher span is relevant), e.g.: verbal stem allomorphy in Modern Greek, cp. Embick 2010, Merchant 2015)
- **inward sensitivity**: a structurally lower span conditions allomorphy in a higher span (both the phonological and the morphosyntactic content of the lower span is relevant, cp. Embick 2012).

Inward sensitivity to spans captures (1–2): Spell-Out of the final node T/Agr must have access to the [NAct] feature on *v* across the intervening head Asp, independent of the value of Asp (since both the present (imperfective) and the aorist (perfective) stem can occur with active and non-active morphology, (1a-b) and (2a-b)) → predicted if V+v+Asp form a *span*, as in (3) (heads in **bold** form a span; the theme vowel *-o-* spells out the entire span):

- (3) Derivation of the 1sg.pres.mid.:



- More evidence: **deponent verbs** = formally non-active verbs that syntactically behave like agentive transitive verbs. The [NAct] feature is a property of particular *verbal stems* that are lexically marked for having non-canonical agents (cp. Grestenberger 2014; with

a lexical feature on the root: Embick 1998, 2000). The T-Agr complex is again sensitive to the span $V+v+Asp$ rather than just Asp:

- (4) CG Deponent verbs:
- a. $[dízē]_{V-mai}$
seek.IPFV-1SG.PRES.NACT
'I seek (sth.)'
 - b. $[tínu]_{V-mai}$
punish.IPFV-1SG.PRES.NACT
'I punish'

BUT the “passive” suffix $-thē-$ triggers obligatory insertion of default T/Agr morphology (“active”) in the aorist (3-a), but non-active T/Agr morphology in the future (3-b). This is not predicted by either node adjacency nor (inward-looking) span adjacency.

2.1 Voice in CG

Embick (1998), (2004), Kallulli (2007), (2013): Non-active is assigned *postsyntactically* to particular syntactic environments.

- (5) Condition on non-active voice (Embick 2004: 150)
 $v \leftrightarrow v-X / _$ **No external argument**
 “Non-active voice is assigned when v does not introduce an external argument”
 (“-X” = morphological exponence of “non-active” in a given language)
- “active” = elsewhere morphology
 - Since non-active morphology in T/Agr is sensitive to a property of v , active morphology also emerges as a default whenever v is missing, as in unaccusative and stative predicates (Kallulli 2013).
 - CG v can be [+/-NAct]; CG does not have a passive Voice head (cp. Alexiadou and Doron 2012 for Modern Greek).

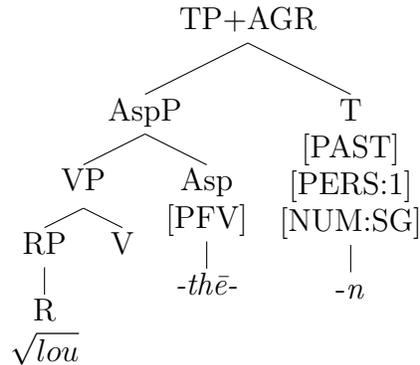
3 Analysis

Proposal: CG $-thē-$ realizes Asp[pfv] in the absence of the agentivity/event-introducing head v

- $-thē-$ is predicted to occur only in contexts where v is missing and hence surface with default T/Agr morphology \rightarrow aorist passive.
- $-thē-$ \neq a (passive) Voice head
- In the future passive, the span $v+Asp+Mod$ triggers non-active rather than the expected default morphology

3.1 The CG Aorist passive

(6) Aorist passive



Evidence:

- *-thē-* developed diachronically from a verbal stem forming suffix that made itr./unacc. verbal stems without specifically passive meaning (thus still in Homer), e.g.:

(7) Intransitive non-passive Homeric *thē*-aorists

- a. *e-krúph-thē-n*
PAST-hide-PFV-1SG.PAST.ACT
'I hid (myself)'
- b. *e-phobē-thē-n*
PAST-flee-PFV-1SG.PAST.ACT
'I fled'

- *-thē-* is in complementary distribution with other aorist stem-forming morphology rather than with voice morphology:

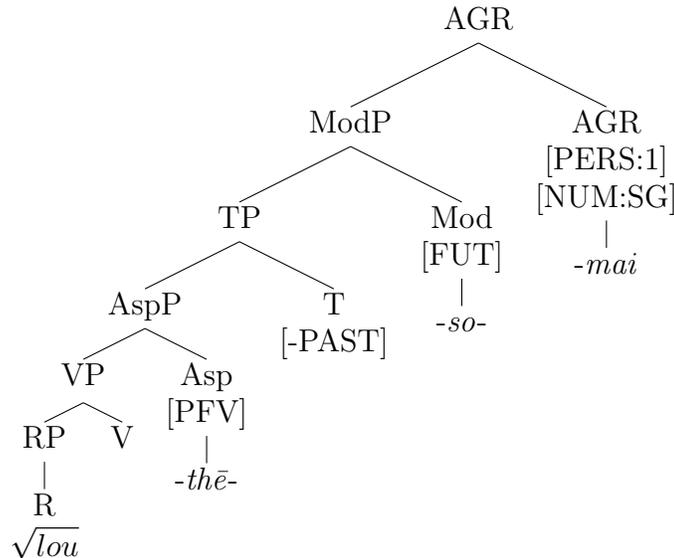
(8) Alternation with stem-forming morphology

- a. *é-du-s-a*
PAST-sink-PFV-1SG.PAST.ACT
'I sank sth.'
- b. *é-traph-o-n*
PAST-be.nourished-PFV-1SG.PAST.ACT
'I was nourished'
- c. *e-dú-thē-n*
PAST-sink-PFV.PASS-1SG.PAST.ACT
'I was sunk'

- *-thē-* does not license agent *by*-phrases (Kulikov and Lavidas 2013).

3.2 The CG future passive

(9) Future passive



Evidence:

- The **future passive** is perfective, the future middle is imperfective (Smyth and Messing 1956, Allan 2003), so *-thē-* also realizes Asp[pfv] in the absence of *v* in the fut.pass.:

(10) CG future passive

- tīmē-so-mai* (fut.mid.)
honour_V-FUT-1SG.NONPAST.NACT
'I shall enjoy honour' (ipfv)
- tīmē-thē-so-mai* (fut.pass.)
honour_V-PFV.PASS-FUT-1SG.NONPAST.NACT
'I shall be honored' (on a particular occasion; pfv)

- The future marker *-se/o-* realizes Mod (future = modality: cp. Giannakidou 2014)
 - as the result of a diachronic reanalysis: *-se/o-* still behaves like a desiderative/ipfv. stem-forming suffix in closely related languages

3.3 Span-conditioned allomorphy?

Problem: in the future passive, the span **v+Asp+Mod** appears to trigger non-active rather than the expected default morphology

- Mod alone is compatible with active and non-active morphology, so it can't be the trigger for obligatory non-active in the fut. passive
- The trigger must be the *span* Asp[pfv]+Mod, spelled out as *-thē-so/e-*
- The span that triggers allomorphy does not need to be spelled out as portmanteau (Merchant 2015): Asp[pfv] and Mod are realized separately
- **Inward sensitivity:** Higher spans are sensitive to **morphosyntactic** and **phonological** features of a lower span

- if the lower span has been spelled out and has phonological content
- = Mod acts as an intervener between T/Agr and Asp[pfv]
- T/Agr cannot directly access the features of v+Asp because another node *with phonological content* intervenes (unlike in the present, aorist and future active and middle and the aorist passive)
- expected default morphology does not surface

3.3.1 Evidence for phonological intervention

Mod (-*se/o-* also triggers non-active in many verbs that are otherwise active in the present → **semi-deponents**: active in the present/aor., but non-active in the future.

(11) CG semi-deponents

Pres.: act.	Fut.: NAct	Meaning
<i>aeíd-ō</i>	<i>aeí-so-mai</i>	‘(will) sing’
<i>akouí-ō</i>	<i>akouí-so-mai</i>	‘(will) hear’
<i>hamartán-ō</i>	<i>hamarté-so-mai</i>	‘(will) miss, fail’
<i>baín-ō</i>	<i>bé-so-mai</i>	‘(will) walk, go’
<i>plé-ō</i>	<i>pleú-so-mai</i>	‘(will) sail’

- Kemmer 1993: 79ff.: inherently desiderative/volitional verbs (‘want’, ‘will’) tend to take non-active morphology (“subject affectedness”)

4 Analysis II

- Fut. & subj. are different values of epistemic Mod (“high Mod” Cinque 1999), which selects TP (fig. 3) → fut. & subj. cannot co-occur
- Opt. = deontic mod., can co-occur with future
- Mod_{FUT} selects v without an external argument and introduces a volitional/affected argument → condition on non-active voice applies (ex. 5), obligatory non-active in the future

Observation: default (active) morphology surfaces in the passive whenever Asp+Mod form a portmanteau (or Mod is missing → aor.pass.), (12-a-c). Non-active surfaces when Asp & Mod are spelled out separately, (12-d-e) (illustrated with 1pl.):

(12) Spell-Out of Mod:

a.	1pl.aor. pass.	<i>-thē-men</i> -pfv.pass-1pl.past.act
b.	1pl.aor. subj.pass.	<i>-thô-men</i> -pfv.pass.subj-1pl.nonpast.act
c.	1pl.aor. opt.pass.	<i>-thē̃-men</i> -pfv.pass.opt-1pl.past.act
d.	1pl.fut. pass.	<i>-thē-só-metha</i> -pfv.pass-fut-1pl.nonpast.NAct
e.	1pl.fut. opt.pass.	<i>-thē-soí-metha</i> -pfv.pass-fut.opt-1pl.past.NAct

Cp. linearization for (12-b) vs. (12-e):

- (13) a. $\sqrt{lou} \frown \text{Asp.Mod}[\text{thô}] \frown \text{Agr}[-\text{men}]$
 b. $\sqrt{lou} \frown \text{Asp}[\text{thē}] \frown \text{Mod}[\text{so}] \frown \text{Agr}[-\text{metha}]$

- $\text{Asp.Mod}[\text{thô}] \frown \text{Agr}[1\text{pl}]$ are spelled out as *[-thô-men]* (Asp+Mod: portmanteau), but $\text{Asp} \frown \text{Mod} \frown \text{Agr}[1\text{pl}]$ surface as *[-thē-so-metha]* (no portmanteau)
- parallel to $\text{Mod}[\text{so}] \frown \text{Agr}[1\text{pl}] \rightarrow -\text{so-metha}$ (1sg. *-so-mai*) in the fut.mid. ((10) & (12-d))
 - cp. English past tense allomorphy: T must be concatenated with the *root* to be spelled out as (irregular) past tense allomorph \rightarrow possible because $V/v = \emptyset$ and has been **pruned**, no intervention (Embick 2012)
 - (10), (12-d-e): No pruning possible in the fut.pass.; Mod has phonological content and intervenes between $\text{Asp}[\text{thē}]$ and Agr

5 Implications

- Strict node adjacency may still be necessary for inward-looking allomorphy \rightarrow Voice morphology in CG appears to be sensitive to local heads with phonological content
- **Problem:** AGR can “see” the Voice feature on v in the future act./mid. but not the lack of v in the future passive
- Parallels for purely phonological intervention effects during vocabulary insertion?

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